

# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup>: C07K 14/24, C12P 21/02, A01N 63/02

A1

(11) International Publication Number:

WO 98/50427

(43) International Publication Date:

12 November 1998 (12.11.98)

(21) International Application Number:

PCT/US98/08993

(22) International Filing Date:

4 May 1998 (04.05.98)

(30) Priority Data:

60/045,641

5 May 1997 (05.05.97)

US

(71) Applicants: DOW AGROSCIENCES LLC [US/US]; 9330
Zionsville Road, Indianapolis, IN 46268 (US). WISCONSIN ALUMNI RESEARCH FOUNDATION [US/US]; 614
Walnut Street, Madison, WI53705 (US).

(72) Inventors: ENSIGN, Jerald, C.; 1810 Camelot Drive, Madison, WI 53705 (US). BOWEN, David, J.; 5668 Highway A., Oregon, WI 53575 (US). TENOR, Jennifer, L.; 2103 Keyes Avenue, Madison, WI 53711 (US). CICHE, Todd, A.; 1609 Chadbourne Avenue, Madison, WI 53705 (US). PETELL, James, K.; 15 Monahan Road, Zionsville, IN 46077 (US). STRICKLAND, James, A.; 780 Mt. Zion Road, Lebanon, IN 46052 (US). ORR, Gregory, L.; 1028 Saragota Circle, Indianapolis, IN 46280 (US). FATIG, Raymond, O.; 30 Clay Court, Zionsville, IN 46077 (US). BINTRIM, Scott, B.; 511 Beals Street, Carmel, IN 46032 (US). FRENCH—CONSTANT, Richard, T.; 1006 University Bay Drive, Madison, WI 53705 (US).

(74) Agent: BORUCKI, Andrea, T.; 9330 Zionsville Road, Indianapolis, IN 46268 (US).

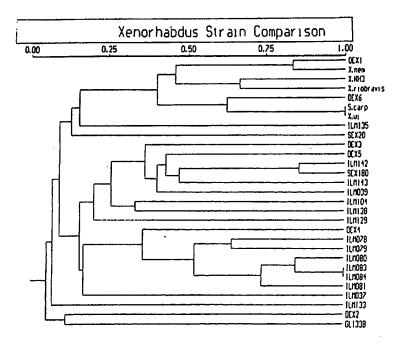
(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TI, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

#### **Published**

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: INSECTICIDAL PROTEIN TOXINS FROM XENORHABDUS



#### (57) Abstract

Proteins from the genus Xenorhabdus are toxic to insects upon exposure. These protein toxins can be applied to insect larvae food and plants for insect control.

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

| AL | Albania                  | ES | Spain               | LS | Lesotho               | SI | Slovenia                 |
|----|--------------------------|----|---------------------|----|-----------------------|----|--------------------------|
| AM | Armenia                  | FI | Finland             | LT | Lithuania             | SK | Slovakia                 |
| AT | Austria                  | FR | France              | LU | Luxembourg            | SN | Senegal                  |
| AU | Australia                | GA | Gabon               | LV | Latvia                | SZ | Swaziland                |
| ΑZ | Azerbaijan               | GB | United Kingdom      | MC | Monaco                | TD | Chad                     |
| BA | Bosnia and Herzegovina   | GE | Georgia             | MD | Republic of Moldova   | TG | Togo                     |
| BB | Barbados                 | GH | Ghana               | MG | Madagascar            | TJ | Tajikistan               |
| BE | Belgium                  | GN | Guinea              | MK | The former Yugoslav   | TM | Turkmenistan             |
| BF | Burkina Faso             | GR | Greece              |    | Republic of Macedonia | TR | Turkey                   |
| BG | Bulgaria                 | HU | Hungary             | ML | Mali                  | TT | Trinidad and Tobago      |
| BJ | Benin                    | 1E | Ireland             | MN | Mongolia              | UA | Ukraine                  |
| BR | Brazil .                 | IL | Israel              | MR | Mauritania ,          | UG | Uganda                   |
| BY | Belarus                  | IS | Iceland             | MW | Malawi                | US | United States of America |
| CA | Canada                   | IT | Italy               | MX | Mexico                | UZ | Uzbekistan               |
| CF | Central African Republic | JP | Japan               | NE | Niger                 | VN | Viet Nam                 |
| CG | Congo                    | KE | Kenya               | NL | Netherlands           | YU | Yugoslavia               |
| CH | Switzerland              | KG | Kyrgyzstan          | NO | Norway                | zw | Zimbabwe                 |
| CI | Côte d'Ivoire            | KP | Democratic People's | NZ | New Zealand           |    |                          |
| CM | Cameroon                 |    | Republic of Korea   | PL | Poland                |    |                          |
| CN | China                    | KR | Republic of Korea   | PГ | Portugal              |    |                          |
| CU | Cuba                     | KZ | Kazakstan           | RO | Romania               |    |                          |
| CZ | Czech Republic           | LC | Saint Lucia         | RU | Russian Federation    |    |                          |
| DE | Germany                  | LI | Liechtenstein       | SD | Sudan                 |    |                          |
| DK | Denmark                  | LK | Sri Lanka           | SE | Sweden                |    |                          |
| EE | Estonia                  | LR | Liberia             | SG | Singapore             |    |                          |

1

## INSECTICIDAL PROTEIN TOXINS FROM XENORHABDUS

## Cross-reference to Related Applications

5

10

15

20

25

30

35

40

This patent application claims priority from a U.S. Provisional Patent Application Serial Number 60/045,641 filed on May 5, 1997.

# Field of the Invention

The present invention relates to toxins isolated from bacteria and the use of said toxins as insecticides.

## Background of the Invention

In the past there has been interest in using biological agents as an option for pest management. One such method explored was the potential of insect control using certain Nematodes, like those of the genera of nematodes. Steinernema and Heterorhabditis genera, can be used as biological agents due in part to their transmissible insecticidal bacterial symbionts of the genera Xenorhabdus and Photorhabdus, respectively. Upon entry into the insect, the nematodes release their bacterial symbionts into the insect hemolymph where the bacteria reproduce and eventually cause insect death. The nematode then develops and reproduces within the cadaver. Bacteria-containing nematode progeny exit the insect cadaver as infective juveniles which can then invade additional larvae thus repeating the cycle leading to nematode propagation. While this cycle is easily performed on a micro scale, adaptation to the macro level in a laboratory setting, as needed to be effective as a general use insecticide, is difficult, expensive, and inefficient to produce, maintain, distribute and apply.

In addition to biological approaches to pest management such as nematodes, there are now pesticide control agents commercially available that are naturally derived. These naturally derived approaches can be as effective as synthetic chemical approaches. One such naturally occurring agent is

the crystal protein toxin produced by the bacteria Bacillus thuringiensis (Bt). These protein toxins have been formulated as sprayable insect control agents. A more recent application of Bt technology has been to isolate and transform into plants the genes that produce the toxins. Transgenic plants subsequently produce the Bt toxins thereby providing insect control (see U.S. Patent Nos. 5,380,831; 5,567,600; and 5,567,862 issued to Mycogen in San Diego, CA).

5

10

15

20

25

35

40

Transgenic Bt plants are quite efficacious and usage is predicted to be high in some crops and areas. This has caused a concern that resistance management issues may arise more quickly than with traditional sprayable applications. Thus, it would be quite desirable to discover other bacterial sources distinct from Bt which produce toxins that could be used in transgenic plant strategies, or could be combined with Bts to produce insect controlling transgenic plants.

It has been known in the art that bacteria of the genus Xenorhabdus are symbiotically associated with the Steinernema nematode. Unfortunately, as reported in a number of articles, the bacteria only had pesticidal activity when injected into insect larvae and did not exhibit biological activity when delivered orally (see Jarosz J. et al. "Involvement of Larvicidal Toxins in Pathogenesis of Insect Parasitism with the Rhabditoid Nematodes, Steinernema Feltiae and Heterorhabditis Bacteriophora" Entomophaga 36 (3) 1991 361-368; Balcerzak, Malgorzata "Comparative studies on parasitism caused by entomogenous nematodes, Steinernema feltiae and Heterorhabditis bacteriophors I. The roles of the nematode-bacterial complex, and of the associated 30 bacteria alone, in pathogenesis" Acta Parasitologica Polonica, 1991, 36(4), 175-181).

For the reasons stated above it has been difficult to effectively exploit the insecticidal properties of the nematode or its bacterial symbiont. Thus, it would be quite desirable to discover proteinaceous agents derived from Xenorhabdus bacteria that have oral activity so that the products produced there from could either be formulated as a sprayable insecticide or the bacterial genes encoding said proteinaceous agents could be isolated and used in the production of transgenic plants. Until applicants' invention WO 98/50427 3

herein there was no known Xenorhabdus species or strains that produced demonstrated protein toxin(s) having oral activity.

## Summary of the Invention

5

10

15

20

The native toxins are protein complexes that are produced and secreted by growing bacterial cells of the genus Xenorhabdus. The protein complexes, with a native molecular size ranging from about 800 to about 3300 kDa, can be separated by SDS-PAGE gel analysis into numerous component The toxins exhibit significant toxicity upon proteins. exposure to a number of insects. Furthermore, toxin activity can be modified by altering media conditions. In addition, the toxins have characteristics of being proteinaceous in that the activity thereof is heat labile and sensitive to proteolysis.

The present invention provides an easily administered insecticidal protein.

The present invention also provides a method for delivering insecticidal toxins that are functionally active and effective against many orders of insects.

Objects, advantages, and features of the present invention will become apparent from the following specification.

25

30

35

40

## Brief Description of the Drawings

Fig. 1 is a phenogram of Xenorhabdus strains as defined by rep-PCR using a specified set of primers. upper axis of Fig. 1 measures the percentage similarity of strains based on scoring of rep-PCR products (i.e., 0.0 [no similarity] to 1.0 [100% similarity]). At the right axis, the numbers and letters indicate the various strains tested. Vertical lines separating horizontal lines indicate the degree of relatedness (as read from the extrapolated intersection of the vertical line with the upper axis) between strains or groups of strains at the base of the horizontal lines (e.g., strain DEX1 is about 83% similar to strain X. nem).

Detailed Description of the Invention

WO 98/50427 PCT/US98/08993 4

The present inventions are directed to discovery of a unique class of insecticidal protein toxins produced by bacteria of the genus Xenorhabdus, said toxins having oral functional activity against insects as defined herein. Xenorhabdus species/strains may be isolated from a variety of sources. One such source is entomopathogenic nematodes, more particularly nematodes of the genus Steinernema or from insect cadavers infested by these nematodes. It is possible that other sources could harbor Xenorhabdus bacteria that produce insecticidal toxins having functional activity as defined herein. Such sources in the environment could be either terrestrial or aquatic based.

10

15

20

25

The genus Xenorhabdus is taxonomically defined as a member of the Family Enterobacteriaceae, although it has certain traits atypical of this family. For example, strains of this genus are typically nitrate reduction negative, and catalase negative. About three years ago, Xenorhabdus was subdivided to create a second genus; Photorhabdus which is comprised of the single species Photorhabdus luminescens (previously Xenorhabdus luminescens) (Boemare et al., 1993 Int. J. Syst. Bacteriol. 43, 249-255). This differentiation is based on several distinguishing characteristics easily identifiable by the skilled artisan. These differences include the following: DNA-DNA hybridization studies; phenotypic presence (Photorhabdus) or absence (Xenorhabdus) of catalase activity; presence (Photorhabdus) or absence (Xenorhabdus) of bioluminescence; the Family of the nematode host in that Xenorhabdus is found in Steinernematidae and Photorhabdus is found in Heterorhabditidae); as well as 30 comparative, cellular fatty-acid analyses (Janse et al. 1990, Lett. Appl. Microbiol 10, 131-135; Suzuki et al. 1990, J. Gen. Appl. Microbiol., 36, 393-401). In addition, recent molecular studies focused on sequence (Rainey et al. 1995, Int. J. Syst. Bacteriol., 45, 379-381) and restriction 35 analysis (Brunel et al., 1997, App. Environ. Micro., 63, 574-580) of 16S rRNA genes also support the separation of these two genera. This change in nomenclature is reflected in this specification, but in no way should a future change in

PCT/US98/08993 WO 98/50427 5

nomenclature alter the scope of the inventions described herein.

In order to establish that the strains disclosed herein were comprised of Xenorhabdus strains, the strains were characterized based on recognized traits which define Xenorhabdus species/strains and differentiate them from other Enterobacteriaceae and Photorhabdus species/strains. (Farmer, 1984 Bergey's Manual of Systemic Bacteriology Vol. 1, pp. 510-511; Akhurst and Boemare 1988, J. Gen. Microbiol. 134, pp. 1835-1845; Boemare et al. 1993 Int. J. 10 Syst. Bacteriol. 43, pp. 249-255, which are incorporated herein by reference). The expected traits for Xenorhabdus are the following: Gram stain negative rods, organism size of 0.3-2 X 2-10  $\mu m$ , white-yellow/brown colony pigmentation, presence of inclusion bodies, absence of catalase, inability 15 to reduce nitrate, absence of bioluminescence, ability to uptake dye from medium, positive gelatin hydrolysis, growth on Enterobacteriaceae selective media, growth temperature below 37° C, survival under anaerobic conditions, and

20

motility.

Currently, the bacterial genus Xenorhabdus is comprised of four recognized species, Xenorhabdus nematophilus, Xenorhabdus poinarii, Xenorhabdus bovienii and Xenorhabdus beddingii (Brunel et al., 1997, App. Environ. Micro., 63, 574-580). A variety of related strains have been described 25 in the literature (e.g., Akhurst and Boemare 1988 J. Gen. Microbiol., 134, 1835-1845; Boemare et al. 1993 Int. J. Syst. Bacteriol. 43, pp. 249-255; Putz et al. 1990, Appl. Environ. Microbiol., 56, 181-186, Brunel et al., 1997, App. Environ. 30 Micro., 63, 574-580, Rainey et al. 1995, Int. J. Syst. Bacteriol., 45, 379-381). Numerous Xenorhabdus strains have been characterized herein. Such strains and the characteristics thereof are listed in Table 1 in the Examples. These strains have been deposited with the 35 Agricultural Research Service Patent Culture Collection (NRRL) at 1815 North University Street Peoria, Illinois 61604 U.S.A. As can be seen in Fig. 1, these strains are diverse. It is not unforeseen that in the future there may be other Xenorhabdus species that will have some or all of the attributes of the described species as well as some different 40

WO 98/50427 PCT/US98/08993

characteristics that are presently not defined as a trait(s) of Xenorhabdus. However, the scope of the invention herein is to any Xenorhabdus species or strains which produce proteins as described herein that have functional activity as orally active insect control agents, regardless of other traits and characteristics. Further included within the inventions are the strains specified herein and any mutants or phase variants thereof.

There are several terms that are used herein that have a particular meaning and are as follows:

By "functional activity" it is meant herein that the protein toxins function as orally active insect control agents, that the proteins have a toxic effect, or are able to disrupt or deter insect feeding which may or may not cause death of the insect. When an insect comes into contact with an effective amount of toxin derived from Xenorhabdus delivered via transgenic plant expression, formulated protein compositions(s), sprayable protein composition(s), a bait matrix or other delivery system, the results are typically death of the insect, or the insects do not feed upon the source which makes the toxins available to the insects.

15

20

25

30

35

40

By "native size" is meant the undenatured size of the protein toxin or protein toxin subunit produced by the Xenorhabdus strain of interest prior to any treatment or modification. Native sizes of proteins can be determined by a variety of methods available to the skilled artisan including but not limited to gel filtration chromatography, agarose and polyacrylamide gel electrophoresis, mass spectroscopy, sedimentation coefficients and the like. Treatment or modifications to alter protein native size can be performed by proteolysis, mutagenesis, gene truncation, protein unfolding and other such techniques available to the artisan skilled in the art of protein biochemistry and molecular biology.

The protein toxins discussed herein are typically referred to as "insecticides". By insecticides it is meant herein that the protein toxins have a "functional activity" as further defined herein and are used as insect control agents.

The term "toxic" or "toxicity" as used herein is meant to convey that the toxins produced by Xenorhabdus have "functional activity" as defined herein.

The term "Xenorhabdus toxin" is meant to include any 5 protein produced by a Xenorhabdus microorganism strain having functional activity against insects, where the Xenorhabdus toxin could be formulated as a sprayable composition, expressed by a transgenic plant, formulated as a bait matrix, delivered via a baculovirus, a plant RNA viral based system, or delivered by any other applicable host or delivery system.

10

15

Fermentation broths from selected strains reported in Table 1 were used to examine the following: breadth of insecticidal toxins production by the Xenorhabdus genus, the insecticidal spectrum of these toxins, and the protein components of said toxins. The strains characterized herein have been shown to have oral toxicity against a variety of insect orders. Such insect orders include but are not limited to Coleoptera, Lepidoptera, Diptera, and Acarina.

As with other bacterial toxins, the mutation rate of bacteria in a population may result in the variation of the 20 sequence of toxin genes. Toxins of interest here are those which produce protein having functional activity against a variety of insects upon exposure, as described herein. Preferably, the toxins are active against Lepidoptera, Coleoptera, Diptera, and Acarina. The inventions herein are 25 intended to capture the protein toxins homologous to protein toxins produced by the strains herein and any derivative strains thereof, as well as any other protein toxins produced by Xenorhabdus that have functional activity. These 30 homologous proteins may differ in sequence, but do not differ in functional activity from those toxins described herein. Homologous toxins are meant to include protein complexes of between 100 kDa to 3200 kDa and are comprised of at least one subunit, where a subunit is a peptide which may or may not be 35 the same as the other subunit. Various protein subunits have been identified and are taught in the Examples herein. Typically, the protein subunits are between about 20 kDa to about 350 kDa; between about 130 kDa to about 300 kDa; 40 kDa to 80 kDa; and about 20 kDa to about 40 kDa.

WO 98/50427 PCT/US98/08993

8

The toxins described herein are quite unique in that the toxins have functional activity, which is key to developing an insect management strategy. In developing an insect management strategy, it is possible to delay or circumvent the protein degradation process by injecting a protein directly into an organism, avoiding its digestive tract. In such cases, the protein administered to the organism will retain its function until it is denatured, non-specifically degraded, or eliminated by the immune system in higher organisms. Injection into insects of an insecticidal toxin has potential application only in the laboratory.

10

15

20

25

30

35

40

The discovery that the insecticidal protein toxins having functional activity as defined herein exhibit their activity after oral ingestion or contact with the toxins permits the development of an insect management plan based solely on the ability to incorporate the protein toxins into the insect diet. Such a plan could result in the production of insect baits.

The Xenorhabdus toxins may be administered to insects in both a purified and non-purified form. The toxins may also be delivered in amounts from about 1 to about 1000 mg/liter of broth. This may vary upon formulation condition, conditions of the inoculum source, techniques for isolation of the toxin, and the like. The toxins found herein can be administered as a sprayable insecticide. Fermentation broth from Xenorhabdus can be produce, diluted, or if needed, be concentrated about 100 to 1000-fold using ultrafiltration or other techniques available to the skilled artisan. Treatments can be applied with a syringe sprayer, a track sprayer or any such equipment available to the skilled artisan wherein the broth is applied to the plants. After treatments, broths can be tested by applying the insect of choice to said sprayed plant and can the be scored for damage to the leaves. If necessary, adjuvants and photo-protectants can be added to increase toxin-environmental half-life. In a laboratory setting, broth, dilutions, or concentrates thereof can be applied using methods available to the skilled artisan. Afterwards, the material can be allowed to dry and insects to be tested are applied directly to the appropriate plant tissue. After one week, plants can be scored for damage using a modified Guthrie Scale (Koziel, M. G., Beland, G. L., Bowman, C., Carozzi, N. B., Crenshaw, R., Crossland, L., Dawson, J., Desai, N., Hill, M., Kadwell, S., Launis, K., Lewis, K., Maddox, D., McPherson, K., Meghji, M. Z., Merlin, E., Rhodes, R., Warren, G. W., Wright, M. and Evola, S. V. 1993). In this manner, broth or other protein containing fractions may confer protection against specific insect pests when delivered in a sprayable formulation or when the gene or derivative thereof, encoding the protein or part thereof, is delivered via a transgenic plant or microbe.

9

The toxins may be administered as a secretion or cellular protein originally expressed in a heterologous prokaryotic or eukaryotic host. Bacteria are typically the hosts in which proteins are expressed. Eukaryotic hosts could include but are not limited to plants, insects and yeast. Alternatively, the toxins may be produced in bacteria or transgenic plants in the field or in the insect by a baculovirus vector. Typically, an insect will be exposed to toxins by incorporating one or more of said toxins into the food/diet of the insect.

15

20

25

30

35

40

Complete lethality to feeding insects is preferred, but is not required to achieve functional activity. If an insect avoids the toxin or cease feeding, that avoidance will be useful in some applications, even if the effects are sublethal or lethality is delayed or indirect. For example, if insect resistant transgenic plants are desired, a reluctance of insects to feed on the plants is as useful as lethal toxicity to the insects since the ultimate objective is protection of the plants insect-induced damage rather than insect death.

There are many other ways in which toxins can be incorporated into an insect's diet. For example, it is possible to adulterate the larval food source with the toxic protein by spraying the food with a protein solution, as disclosed herein. Alternatively, the purified protein could be genetically engineered into an otherwise harmless bacterium, which could then be grown in culture, and either applied to the food source or allowed to reside in the soil in an area in which insect eradication was desirable. Also, the protein could be genetically engineered directly into an

insect food source. For instance, the major food source for many insect larvae is plant material.

One consideration associated with commercial exploitation of transgenic plants is resistance management. This is of particular concern with Bacillus thuringiensis toxins. There are numerous companies commercially exploiting Bacillus thuringiensis and there has been much concern about development of resistance to Bt toxins. One strategy for insect resistance management would be to combine the toxins produced by Xenorhabdus with toxins such as Bt, vegetative insecticidal proteins from Bacillus stains (Ciba Geigy; WO 94/21795) or other insect toxins. The combinations could be formulated for a sprayable application or could be molecular combinations. Plants could be transformed with Xenorhabdus genes that produce insect toxins and other insect toxin genes such as Bt.

10

15

20

25

30

35

40

European Patent Application 0400246A1 describes transformation of a plant with 2 Bts. This could be any 2 genes, not just Bt genes. Another way to produce a transgenic plant that contains more than one insect resistant gene would be to produce two plants, with each plant containing an insect resistance gene. These plants could then be backcrossed using traditional plant breeding techniques to produce a plant containing more than one insect resistance gene.

In addition to producing a transformed plant, there are other delivery systems where it may be desirable to reengineer the bacterial gene(s). Along the same lines, a genetically engineered, easily isolated protein toxin made by fusing together both a molecule attractive to insects as a food source and the functional activity of the toxin may be engineered and expressed in bacteria or in eukaryotic cells using standard, well-known techniques. After purification in the laboratory such a toxic agent with "built-in" bait could be packaged inside standard insect trap housings.

Another delivery scheme is the incorporation of the genetic material of toxins into a baculovirus vector. Baculoviruses infect particular insect hosts, including those desirably targeted with the Xenorhabdus toxins. Infectious baculovirus harboring an expression construct for the

Xenorhabdus toxins could be introduced into areas of insect infestation to thereby intoxicate or poison infected insects.

Transfer of the functional properties requires nucleic acid sequences encoding the amino acid sequences for the Xenorhabdus toxins integrated into a protein expression vector appropriate to the host in which the vector will reside. One way to obtain a nucleic acid sequence encoding a protein with functional properties is to isolate the native genetic material which produces the toxins from Xenorhabdus, using information deduced from the toxin's amino acid sequence, large portions of which are set forth below. As described below, methods of purifying the proteins responsible for toxin activity are also disclosed.

10

15

20

25

Insect viruses, or baculoviruses, are known to infect and adversely affect certain insects. The affect of the viruses on insects is slow, and viruses do not immediately stop the feeding of insects. Thus, viruses are not viewed as being optimal as insect pest control agents. However, combining the Xenorhabdus toxin genes into a baculovirus vector could provide an efficient way of transmitting the toxins. In addition, since different baculoviruses are specific to different insects, it may be possible to use a particular toxin to selectively target particularly damaging insect pests. A particularly useful vector for the toxins genes is the nuclear polyhedrosis virus. Transfer vectors using this virus have been described and are now the vectors of choice for transferring foreign genes into insects. virus-toxin gene recombinant may be constructed in an orally transmissible form. Baculoviruses normally infect insect 30 victims through the mid-gut intestinal mucosa. The toxin gene inserted behind a strong viral coat protein promoter would be expressed and should rapidly kill the infected insect.

In addition to an insect virus or baculovirus or 35 transgenic plant delivery system for the protein toxins of the present invention, the proteins may be encapsulated using Bacillus thuringiensis encapsulation technology such as but not limited to U.S. Patent Nos. 4,695,455; 4,695,462; 4,861,595 which are all incorporated herein by reference. Another delivery system for the protein toxins of the present 40

PCT/US98/08993 WO 98/50427 12

invention is formulation of the protein into a bait matrix, which could then be used in above and below ground insect bait stations. Examples of such technology include but are not limited to PCT Patent Application WO 93/23998, which is incorporated herein by reference.

Standard and molecular biology techniques may be used to clone and sequence the toxins described herein. Additional information may be found in Sambrook, J., Fritsch, E. F., and Maniatis, T. (1989), Molecular Cloning, A Laboratory Manual, 10 Cold Spring Harbor Press, which is incorporated herein by reference.

The following abbreviations are used throughout the Examples: Tris = tris (hydroxymethyl) amino methane; SDS = sodium dodecyl sulfate; EDTA = ethylenediaminetetraacetic acid, IPTG = isopropylthio-B-galactoside, X-gal = 5-bromo-4chloro-3-indoyl-B-D-galactoside, CTAB = cetyltrimethylammonium bromide; kbp = kilobase pairs; dATP, dCTP, dGTP, dTTP, I = 2'-deoxynucleoside 5'-triphosphates of adenine, cytosine, guanine, thymine, and inosine, respectively; ATP = adenosine 5' triphosphate.

15

20

25

30

The particular embodiments of this invention are further exemplified in the Examples. However, those skilled in the art will readily appreciate that the specific experiments detailed are only illustrative of the invention as described more fully in the claims which follow thereafter.

# EXAMPLE 1 CHARACTERIZATION OF XENORHABDUS STRAINS

In order to establish that the collection described herein consisted of Xenorhabdus isolates, strains were assessed in terms of recognized microbiological traits that are characteristic of phase I variants of Xenorhabdus and which differentiate it from other Enterobacteriaceae and Photorhabdus spp. [Farmer, J.J. 1984. Bergey's Manual 35 of Systemic Bacteriology, vol 1. pp. 510-511. (ed. Kreig N.R. and Holt, J.G.). Williams & Wilkins, Baltimore.; Akhurst and Boemare, 1988, J. Gen. Microbiol. 134, 1835-1845; Forst and Nealson, 1996. Microbiol. Rev. 60, 21-43]. These characteristic traits were as follows: Gram stain 40

negative rods; organism size of 0.3-2  $\mu m$  in width and 2-10  $\mu m$  in length with occasional filaments (15-50  $\mu m$ ) and spheroplasts; white to yellow/brown colony pigmentation on nutrient agar; presence of crystalline inclusion bodies; absence of catalase; negative for oxidase; inability to reduce nitrate; absence of bioluminescence; ability to take up dye from growth media; positive for protease production; growth-temperature below 37° C; survival under anaerobic conditions, and positively motile (Table 1). 10 Methods were checked using reference Escherichia coli, Xenorhabdus and Photorhabdus strains as controls. Overall results shown in Table 1 were consistent with all strains being members of the family Enterobacteriaceae and the genus Xenorhabdus.

15

20

25

35

40

A luminometer was used to establish the absence of bioluminescence associated with Xenorhabdus strains. measure the presence or absence of relative light emitting units, broth from each strain (cells and media) was measured at up to three time intervals after inoculation in liquid culture (24, 48 and/or 72 h) and compared to background luminosity (uninoculated media). Several Photorhabdus strains were also tested as positive controls for luminosity. Prior to measuring light emission from selected broths, cell density was established by measuring  $A_{\tiny{560\,nm}}$  in a Gilford Systems (Oberlin, OH) spectrophotometer using a sipper cell. The resulting light emitting units were then normalized to cell density. Aliquots of broths were placed into 96-well microtiter plates (100  $\mu L$ each) and read in a Packard Lumicount luminometer (Packard Instrument Co., Meriden CT). The integration period for each 30 sample was 0.1 to 1.0 sec. The samples were agitated in the luminometer for 10 sec prior to taking readings. A positive test was determined as being ≥ 3-fold background luminescence (-1-15 relative light units). In addition, absence of colony luminosity with some strains was confirmed with photographic film overlays and visual analysis after visual adaptation in a darkroom.

The Gram staining characteristics of each strain were established with a commercial Gram-stain kit (BBL, Cockeysville, MD) in conjunction with Gram stain control slides (Fisher Scientific, Pittsburgh, PA). Microscopic evaluation

20

25

30

was then performed using a Zeiss microscope (Carl Zeiss, Germany) 100X oil immersion objective lens (with 10X ocular and 2X body magnification). Microscopic examination of individual strains for organism size, cellular description and inclusion 5 bodies (the latter two observations after logarithmic growth) was performed using wet mount slides (10% ocular, 2% body and 40X objective magnification) and phase contrast microscopy with a micrometer (Akhurst, R.J. and Boemare, N.E. 1990. Entomopathogenic Nematodes in Biological Control (ed. Gaugler, 10 R. and Kaya, H.). pp. 75-90. CRC Press, Boca Raton, USA.; Baghdiguian S., Boyer-Giglio M.H., Thaler, J.O., Bonnot G., Boemare N. 1993. Biol. Cell 79, 177-185). Colony pigmentation was observed after inoculation on Bacto nutrient agar, (Difco Laboratories, Detroit, MI) prepared per label instructions. Incubation occurred at 28° C and descriptions were recorded 15 after 5-7 days.

To test for the presence of catalase activity, 1 mL of culture broth or a colony of the test organism on a small plug of nutrient agar was placed into a glass test tube. One mL of a household hydrogen peroxide solution was gently added down the side of the tube. A positive reaction was recorded when bubbles of gas (presumably oxygen) appeared immediately or within 5 sec. Negative controls of uninoculated nutrient agar or culture broth and hydrogen peroxide solution were also examined.

The oxidase reaction of each strain was determined by rubbing 24 h colonies onto DrySlide Oxidase slides (Difco, Inc.; Detroit, MI). Oxidase positive strains produce a dark purple color, indicative of cytochrome oxidase C, within 20 sec after the organism was rubbed against the slide. Failure to produce a dark purple color indicated that the organism was oxidase negative.

To test for nitrate reduction, each culture was inoculated into 10 mL of Bacto Nitrate Broth (Difco Laboratories, Detroit, 35 MI). After 24 h incubation at 28°C, nitrite production was tested by the addition of two drops of sulfanilic acid reagent and two drops of alpha-naphthylamine reagent (Difco Manual, 10th edition, Difco Laboratories, Detroit, MI, 1984). The generation of a distinct pink or red color indicated the 40 formation of nitrite from nitrate whereas the lack of color

15

20

25

35

formation indicated that the strain was nitrate reduction negative. In the latter case, finely powdered zinc was added to further confirm the presence of unreduced nitrate established by the formation of nitrite and the resultant red 5 color.

The ability of each strain to uptake dye from growth media was tested with Bacto MacConkey agar containing the dye neutral red; Bacto Tergitol-7 agar containing the dye bromothymol blue and Bacto EMB Agar containing the dyes methylene blue and 10 eosin-Y (formulated agars from Difco Laboratories, Detroit, MI, all prepared according to label instructions). After inoculation on these media, dye uptake was recorded upon incubation at 28° C for 5 days. Growth on Bacto MacConkey and Bacto Tergitol-7 media is characteristic for members of the family Enterobacteriaceae. Motility of each strain was tested using a solution of Bacto Motility Test Medium (Difco Laboratories, Detroit, MI) prepared per label instructions. A butt-stab inoculation was performed with each strain and positive motility was judged after incubation at 28° C by macroscopic observation of a diffuse zone of growth spreading from the line of inoculation.

The production of protease was tested by observing hydrolysis of gelatin using Bacto gelatin (Difco Laboratories, Detroit, MI) plates made per label instructions. Cultures were inoculated and the plates were incubated at 22° C for 3-5 days prior to assessment of gelatin hydrolysis. To assess growth at different temperatures, agar plates [2% proteose peptone #3 with two percent Bacto-Agar (Difco, Detroit, MI) in deionized water] were streaked from a common source of inoculum. Plates 30 were incubated at 20, 28 and 37° C for 5 days. The incubator temperatures were checked with an electronic thermocouple and metered to insure valid temperature settings.

Oxygen requirements for Xenorhabdus strains were tested in the following manner. A butt-stab inoculation into fluid thioglycolate broth medium (Difco, Detroit, MI) was made. The tubes were incubated at room temperature for one week and cultures were then examined for type and extent of growth. indicator resazurin was used to indicate the presence of medium oxygenation or the aerobiosis zone (Difco Manual, 10th edition,

WO 98/50427 PCT/US98/08993

5

10

15

20

25

30

35

Difco Laboratories, Detroit, MI). In the case of unclear results, the final agar concentration of fluid thioglycolate broth medium was raised to 0.75% and the growth characteristics rechecked.

16

The diversity of Xenorhabdus strains was measured by analysis of PCR (Polymerase Chain Reaction) mediated genomic fingerprinting using genomic DNA from each strain. technique is based on families of repetitive DNA sequences present throughout the genome of diverse bacterial species (reviewed by Versalovic, J., Schneider, M., DE Bruijn, F.J. and Lupski, J.R. 1994. Methods Mol. Cell. Biol., 5, 25-40). of these, repetitive extragenic palindromic sequence (REP), enterobacterial repetitive intergenic consensus (ERIC) and the BOX element, are thought to play an important role in the organization of the bacterial genome. Genomic organization is believed to be shaped by selection and the differential dispersion of these elements within the genome of closely related bacterial strains can be used to discriminate between strains (e.g. Louws, F.J., Fulbright, D.W., Stephens, C.T. and DE Bruijn, F.J. 1994. Appl. Environ. Micro. 60, 2286-2295). Rep-PCR utilizes oligonucleotide primers complementary to these repetitive sequences to amplify the variably sized DNA fragments lying between them. The resulting products are separated by electrophoresis to establish the DNA "fingerprint" for each strain.

To isolate genomic DNA from strains, cell pellets were resuspended in TE buffer (10 or 50 mM Tris-HCl, 1 or 50 mM EDTA, pH 8.0) to a final volume of 10 mL and 12 mL of 5 M NaCl was then added. This mixture was centrifuged 20 min at 15,000 kg. The resulting pellet was resuspended in 5.7 mL of TE and 300  $\mu$ L of 10% SDS and 60  $\mu$ L 20 mg/ml proteinase K (Gibco BRL Products, Grand Island, NY) were added. This mixture was incubated at 37° C for 1 h, about 10 mg of lysozyme was added, and the mixture was then incubated for an additional 30 to 45 min. One mL of 5M NaCl and 800  $\mu$ L of CTAB/NaCl solution (10% w/v CTAB, 0.7 M NaCl) were then added and the mixture was incubated 10 to 20 min at 65° C, and in some cases, gently agitated, then incubated and agitated for an additional 20 min

Table 1. Taxonomic Traits of Xenorhabdus Strains

| Strain        | A*           | В           | C              | D    |       | E   | F        | G              | н   | I    | J   | K | L | M | N | 0        | P   | Q      | R          |
|---------------|--------------|-------------|----------------|------|-------|-----|----------|----------------|-----|------|-----|---|---|---|---|----------|-----|--------|------------|
| S. carp       | _1           | +           | -1             | rd   | S     | +   | -1       | -              | +   | +    | W   | + | + | + | + | +        | +   | 7      | -          |
| X. Wi         | -            | +           | -              | rd   | S     | +   | -        | - 1            | +   | +    | W   | + | + | + | + | +        | Ŧ   | Ŧ      | -          |
| X. nem        | -            | +           | -              | rd   | S     | +   | -        | -              | +   | +    | W   | + | + | + | + | +        | Ŧ   | =      | _          |
| X. NH3        |              | +           | -              | rd   | S     | +   | -        | -              | +   | +    | W   | + | + | + | + | +        | +   | 冝      | _          |
| X.riobravis   |              | +           | -              | rd   | S     | +   | -        | -              | +   | +    | W   | + | + | + | + | +        | +   | 且      | -          |
| DEXI          | -            | +           | -              | rd   | S     | +   | -        | -              | +   | +    | W   | + | + | + | + | +        | +   | 3      | -          |
| DEX6          | -            | +           | -              | rd   | S     | +   | -        | -              | +   | +    | W   | + | + | + | + | +        | +   |        | Ξ          |
| ILM037        | -            | +           | -              | rd   | S     | +   | -        | -              | +   | +    | U   | + | + | + | + | +        | +   |        | Ξ          |
| ILM039        | -            | +           | -              | rd   | S     | +   | -        | -              | +   | +    | W   | + | + | + | + | +        | +   |        | Ξ          |
| ILM070        | -            | +           | -              | rd   |       | +   | -        | -              | +   | +    | W   | + | + | + | + | +        | +   | ユ      | $\equiv$   |
| ILM078        | -            | +           |                | rd   | -     | +   | -        | -              | +   | +    | W   | + | + | + | + | +        | +   | ユ      | -          |
| ILM079        | -            | +           | -              | rd   | _     | +   | -        | -              | +   | +    | С   | + | + | + | + | +        | +   | _      | Ξ          |
| ILM080        | -            | +           | 1              | rd   |       | +   | -        | -              | +   | +    | W   | + | + | + | + | +        | +   |        | 耳          |
| ILMOSI        | -            | +           | •              | rd   | _     | +   | -        | -              | +   | +    | W   | + | + | + | + | +        | +   | ユ      |            |
| ILM082        | -            | +           | -              | rd   | - 1   | +   | -        | -              | +   | +    | W   | + | + | + | + | +        | +   | _]     | -          |
| ILM083        | -            | +           | 1              | rd   |       | +   | 1        | -              | +   | +    | W   | + | + | + | + | +        | +   | ユ      |            |
| ILM084        | -            | +           | 1              | rd   |       | +   | -        | -              | +   | +    | W   | + | + | + | + | +        | +   | 긔      | _          |
| ILM102        | -            | +           | -              | rd   | -     | +   | •        | -              | +   | +    | С   | + | + | + | + | +        | +   |        | -          |
| ILM103        | -            | +           | 1              | rd   | -     | +   | -        | -              | +   | +    | C   | + | + | + | + | +        | +   | 二      | _          |
| ILM104        | -            | +           | •              | rd   |       | +   | 1        |                | +   | +    | С   | + | + | + | + | +        | +   | 듸      | -          |
| ILM129        | -            | +           | ·              |      | S     | +   |          | •              | +   | +    | Y   | + | + | + | + | +        | +   |        |            |
| ILMI33        | -            | +           | -              | rd   | _     | +   | -        |                | +   | +    | Y   | + | + | + | + | +        | +   | 듸      | -          |
| ILM135        | -            | +           | -              | rd   | _     | +   | 1        | -              | +   | +    | Y   | + | + | + | + | +        | . + | $\Box$ | -          |
| ITW138        | -            | +           | -              | rd   |       | +   |          | -              | +   | +    | Y   | + | + | + | + | +        | +   | -      | <u>-</u> - |
| ILM142        | -            | +           | -              | rd   |       | +   | -        | 1              | +   | +    | Y   | + | + | + | + | +        | +   |        | _          |
| ILM143        | _            | +           |                | rd   |       | +   | -        |                | +   | +    | Y   | + | + | + | + | +        | +   |        | -          |
| GLX26         |              | +           | _              | rd   | _     | +   | -        | -              | +   | +    | C   | + | + | + | + | +        | +   |        | _          |
| GLX40         |              | +           | -              | rd   |       | +   | -        | ,              | +   | +    | C   | + | + | + | + | +        | + - | -      | _          |
| GLX166        |              | +           | -              | rd   | -     | +   | -        | -              | +   | +    | C   | + | + | + | + | +        | +   |        | _          |
| SEX20         |              | +           | -              | rd   | _     | +   | _        | -              | +   | +    | -   | + | + | + | + | +        | ++  |        | _          |
| SEX76         |              | +           | -              | rd   | _     | +   | _        | -              | +   | +    | C   | + | + | + | + | +        |     |        | _          |
| SEX180        |              | +           | -              | ra   |       | +   | -        | -              | +   | +    | C   | + | + | + | + | +        | +   |        |            |
| GL133B        | <u> </u>     | +           | Ŀ              | rd   | -     | +   | _        | _              | +   | +    | Y   | + | + | + | + | +        | +   | ᆜ      | _          |
| DEX2          | -            | +           | -              | rd   | _     | +   | _        |                | +   | +    |     | + | + | + | + | +        | +   | ᆜ      | _          |
| DEX3          |              | +           | <del>1</del>   | rd   |       | +   | <u> </u> |                | +   | +    | Y   | + | + | + | + | +        | +   | ᆸ      | _          |
| DEX4          | <u> </u>     | +           | 1              | rd   |       | +   | _        |                | +   | +    | W   | + | + | + | + | <u> </u> | +   | ᆜ      | _          |
| DEX5          |              | +           |                | rd   |       | +   | 二        |                | +   | +    | W   | + | + | + | + |          | +   | N      |            |
| DEX7          | -            | +           | ] -            | rd   | 5     | +   | _        | -              | +   | +    | W   | + | + | + | + | *        | *   | D      | -          |
| DEX8          | <del> </del> | +           | <del>  _</del> | ra   | S     | +   | -        | <del>-</del> - | +   | +    | W   | + | + | + | + | +        | +   | N      | _          |
| DEVO          |              | _           |                | -~   | _     | Ι΄  |          |                | i . | '    | '   |   |   |   |   |          |     | D      |            |
| * A Cramia st | <del></del>  | <del></del> | <del> </del>   | /gFa | T i m | ╅~; | 77.0     | 1123           | OB  | hodi | es. |   |   |   |   |          |     |        |            |

\*: A=Gram's stain, B=Crystaline inclusion bodies,
C=Bioluminescence, D=Cell form, E=Motility, F=Nitrate reduction,
G=Presence of catalase, H=Gelatin hydrolysis, I=Dye uptake,
J=Pigmentation on Nutrient Agar, K=Growth on EMB agar, L=Growth
on MacConkey agar, M=Growth on Tergitol-7 agar, N =Facultative
anaerobe, O=Growth at 20°C, P=Growth at 28°C, Q=Growth at 37°C,
R=oxidase.

10

to aid in clearing of the cellular material. An equal volume of chloroform/isoamyl alcohol solution (24:1, v/v) was added, mixed gently then centrifuged. Two extractions were performed with an equal volume of phenol/chloroform/isoamyl alcohol (PCI; 50:49:1). Genomic

DNA was precipitated with 0.6 volume of isopropanol. Precipitated DNA was removed with a sterile plastic loop or glass rod, washed twice with 70% ethanol, dried and dissolved in 2 mL of STE (10 mM Tris-HCl pH8.0, 10 mM

NaCl, 1 mM EDTA). The DNA was then quantitated at  $A_{260nm}$ . In a second method, 0.01 volumes of RNAase A (50 µg/mL final) was added and incubated at 37° C for 2 h. sample was then extracted with an equal volume of PCI. The samples were then precipitated with 2 volumes of 100% 15 ethanol and collected as described above. Samples were

then air dried and resuspended in 250-1000  $\mu L$  of TE.

To perform rep-PCR analysis of Xenorhabdus genomic DNA, the following primers were used: REP1R-I; 5'-IIIICGICGICATCIGGC-3' and REP2-I; 5'-ICGICTTATCIGGCCTAC-3'. PCR was performed using the following 25  $\mu$ L reaction: 20 7.75  $\mu$ L H<sub>2</sub>O, 2.5  $\mu$ L 10X LA buffer (PanVera Corp., Madison, WI), 16  $\mu$ L dNTP mix (2.5 mM each), 1  $\mu$ L of each primer at 50 pM/ $\mu$ L, 1  $\mu$ L DMSO, 1.5  $\mu$ L genomic DNA (concentrations ranged from 0.075-0.480  $\mu g/\mu L$ ) and 0.25  $\mu L$  TaKaRa EX Taq (PanVera Corp., Madison, WI). The PCR amplification was 25 performed in a Perkin Elmer DNA Thermal Cycler (Norwalk, CT) using the following conditions: 95° C for 7 min then [94° C for 1 min, 44° C for 1 min, 65° C for 8 min] for 35 cycles; followed by 65° C for 15 min. After cycling, 25  $\mu L$  of reaction was added to 5  $\mu L$  of 6% gel loading buffer 30 (0.25% bromophenol blue, 40% w/v sucrose in H2O). A 15x20cm 1%-agarose gel was then run in TBE buffer (0.09 M Tris-borate, 0.002 M EDTA) using 8  $\mu$ L of each reaction. The gel was run for approximately 16 h at 45 V. Gels were then stained in 20  $\mu g/mL$  ethidium bromide for 1 h and 35 destained in TBE buffer for approximately 3 h. Polaroid

The presence or absence of bands at specific sizes 40 for each strain was scored from the photographs using RFLP

photographs of the gels were then taken under UV

illumination.

scan Plus software (Scanalytics, Billerica, MA) and entered as a similarity matrix in the numerical taxonomy software program, NTSYS-pc (Exeter Software, Setauket, NY). Controls of E. coli strain HB101 and Xanthomonas oryzae pv. oryzae assayed under the same conditions produced PCR fingerprints corresponding to published reports (Versalovic, J., Koeuth, T. and Lupski, J.R. 1991. Nucleic Acids Res. 19, 6823-6831; Vera Cruz, C.M., Halda-Alija, L., Louws, F., Skinner, D.Z., George, M.L., Nelson, 10 R.J., DE Bruijn, F.J., Rice, C. and Leach, J.E. 1995. Int. Rice Res. Notes, 20, 23-24.; Vera Cruz, C.M., Ardales, E.Y., Skinner, D.Z., Talag, J., Nelson, R.J., Louws, F.J., Leung, H., Mew, T.W. and Leach, J.E. 1996. Phytopathology 86, 1352-1359). The data from Xenorhabdus strains were 15 then analyzed with a series of programs within NTSYS-pc; SIMQUAL (Similarity for Qualitative data) to generate a matrix of similarity coefficients (using the Jaccard coefficient) and SAHN (Sequential, Agglomerative, Heirarchical and Nested) clustering using the UPGMA method (Unweighted Pair-Group Method with Arithmetic Averages) 20 which groups related strains and can be expressed as a phenogram (Figure 1). The COPH (cophenetic values) and MXCOMP (matrix comparison) programs were used to generate a cophenetic value matrix and compare the correlation 25 between this and the original matrix upon which the clustering was based. A resulting normalized Mantel statistic (r) was generated which was a measure of the goodness of fit for a cluster analysis (r=0.8-0.9 representing a very good fit). In our case r=0.9, 30 indicated an excellent fit. Therefore, strains disclosed herein were determined to be comprised of a diverse group of easily distinguishable strains representative of the Xenorhabdus genus.

Strains disclosed herein were deposited before

35 application filing with the following International
Deposit Authority: Agricultural Research Service Patent
Culture Collection (NRRL), National Center for
Agricultural Utilization Research, ARS-USDA, 1815 North
University St., Peoria, IL 61604. The following strains
40 with NRRL designations were deposited 29 April, 1997: S.

Carp (NRRL-B-21732); X. Wi (NRRL-B-21733); X. nem (NRRL-B-21734); X. NH3 (NRRL-B-21735); X. riobravis (NRRL-B-21736); GL 133B (NRRL-B-21737); DEX1 (NRRL-B-21738); DEX2 (NRRL-B-21739); DEX3 (NRRL-B-21740); DEX4 (NRRL-B-21741); DEX 5 (NRRL-B-21742); and DEX 6 (NRRL-B-21743). The remaining strains disclosed herein were deposited with NRRL on 30 April, 1998. In all, thirty-nine (39) strains were deposited.

#### 10 EXAMPLE 2

# FUNCTIONAL UTILITY OF TOXIN(S) PRODUCED BY VARIOUS XENORHABDUS STRAINS

"Storage" cultures of the various Xenorhabdus strains were produced by inoculating 175 mL of 2% Proteose Peptone 15 #3 (PP3) (Difco Laboratories, Detroit, MI) liquid medium with a phase I variant colony in a 500 mL tribaffled flask with a Delong neck covered with a Kaput closure. After inoculation, flasks were incubated for between 24-72 h at 28° C on a rotary shaker at 150 rpm. Cultures were then 20 transferred to a sterile bottle containing a sterile magnetic stir bar and then over-layered with sterile mineral oil to limit exposure to air. Storage cultures were kept in the dark at room temperature. These cultures were then used as inoculum sources for the fermentation of 25 each strain. Phase I variant colonies were also stored frozen at -70° C for use as an inoculum source. Single, phase I colonies were selected from PP3 plates containing bromothymol blue (0.0025%) and placed in 3.0 mL PP3 and grown overnight on a rotary shaker (150 rpm) at 28° C. 30 Glycerol (diluted in PP3) was then added to achieve a final concentration of 20% and the cultures were frozen in aliquots at -70° C. For culture inoculation, a portion of the frozen aliquot was removed aseptically and streaked on 35 PP3 containing bromothymol blue for reselection of phase I colonies.

Pre-production "seed" flasks or cultures were produced by either inoculating 2 mL of an oil over-layered storage culture or by transferring a phase I variant colony into 175 mL sterile medium in a 500 mL tribaffled

21

flask covered with a Kaput closure. Typically, following 16 h incubation at 28° C on a rotary shaker at 150 rpm, seed cultures were transferred into production flasks. Production flasks were usually inoculated by adding ~1% of the actively growing seed culture to sterile PP3 or tryptic soy broth (TSB, Difco Laboratories, Detroit MI). For small-scale productions, flasks were inoculated directly with a phase I variant colony. Production of broths occurred in 500 mL tribaffled flasks covered with a Kaput closure. Production flasks were agitated at 28° C on a rotary shaker at 150 rpm. Production fermentations were terminated after 24-72 h.

10

15

20

25

30

35

40

Following appropriate incubation, broths were dispensed into sterile 1.0 L polyethylene bottles, spun at 2600 xg for 1 h at 10° C and decanted from the cell and debris pellet. Broths were then filter sterilized or further broth clarification was achieved with a tangential flow microfiltration device (Pall Filtron, Northborough, MA) using a 0.5  $\mu M$  open-channel poly-ether sulfone (PES) membrane filter. The resulting broths were then concentrated (up to 10-fold) using a 10,000 or 100,000 MW cut-off membrane, M12 ultra-filtration device (Amicon, Beverly MA) or centrifugal concentrators (Millipore, Bedford, MA and Pall Filtron, Northborough, MA) with a 10,000 or 100,000 MW pore size. In the case of centrifugal concentrators, broths were spun at 2000 xg for approximately 2 h. The membrane permeate was added to the corresponding retentate to achieve the desired concentration of components greater than the pore size used. Following these procedures, broths were used for biochemical analysis or biological assessment. Heat inactivation of processed broth samples was achieved by heating 1 mL samples at 100°C in a sand-filled heat block for 10-20 min.

Broth(s) and toxin complex(es) from different Xenorhabdus strains were useful for reducing populations of insects and were used in a method of inhibiting an insect population which comprised applying to a locus of the insect an effective insect inactivating amount of the active described. A demonstration of the breadth of

WO 98/50427 PCT/US98/08993

22

functional activity observed from broths of a selected group of Xenorhabdus strains fermented as described above is shown in Table 2. It is possible that improved or additional functional activities could be detected with these strains through increased concentration of the broth or by employing different fermentation methods as disclosed herein. Consistent with the activity being associated with a protein, the functional activity showed heat lability and/or was present in the high molecular weight retentate (greater than 10 kDa and predominantly greater than 100 kDa) after concentration of the broth.

Culture broth(s) from diverse Xenorhabdus strains showed differential functional activity (mortality and/or growth inhibition) against a number of insects. More specifically, activity was seen against corn rootworm larvae and boll weevil larvae which are members of the insect order Coleoptera. Other members of the Coleoptera include wireworms, pollen beetles, flea beetles, seed beetles and Colorado potato beetle. The broths and purified toxin complex(es) were also active against tobacco budworm, tobacco hornworm, corn earworm, beet armyworm, fall armyworm and European corn borer which are members of the order Lepidoptera. Other typical members of this order are cabbage looper, black cutworm, codling moth, clothes moth, Indian mealmoth, leaf rollers, cabbage worm, bagworm, Eastern tent caterpillar, and sod webworm. Activity was also seen against mosquito larvae which are members of the order Diptera. Other members of the order Diptera are, pea midge, carrot fly, cabbage root fly, turnip root fly, onion fly, crane fly and house fly and various mosquito species. Activity with broth(s) was also seen against two-spotted spider mite which is a member of the order Acarina which includes strawberry spider mites, broad mites, citrus red mite, European red mite, pear rust mite and tomato russet mite.

15

20

25

30

35

40

Activity against corn rootworm larvae was tested as follows. Xenorhabdus culture broth(s) (10X concentrated, filter sterilized), PP3 or TSB (10X concentrated), purified toxin complex(es) or 10 mM sodium phosphate buffer , pH 7.0, were applied directly to the surface

PCT/US98/08993 WO 98/50427 23

(about 1.5 cm<sup>2</sup>) of artificial diet (Rose, R. I. and McCabe, J. M. 1973. J. Econ. Entomol. 66, 398-400) in 40  $\mu \mathrm{L}$  aliquots. Toxin complex was diluted in 10 mM sodium phosphate buffer, pH 7.0. The diet plates were allowed to air-dry in a sterile flow-hood and the wells were infested with single, neonate Diabrotica undecimpunctata howardi (Southern corn rootworm, SCR) hatched from surface sterilized eggs. Plates were sealed, placed in a humidified growth chamber and maintained at 27° C for the 10 appropriate period (3-5 days). Mortality and larval weight determinations were then scored. Generally, 8-16 insects per treatment were used in all studies. Control mortality was generally less than 5%.

Activity against boll weevil (Anthomonas grandis) was tested as follows. Concentrated (10X) Xenorhabdus broths or control medium (PP3) were applied in 60  $\mu L$  aliquots to the surface of 0.35 g of artificial diet (Stoneville Yellow lepidopteran diet) and allowed to dry. A single, 12-24 h boll weevil larva was placed on the diet, the wells were sealed and held at 25° C, 50% relative humidity (RH) for 5 days. Mortality and larval weights were then assessed. Control mortality ranged between 0-25%.

15

20

25

30

40

Activity against mosquito larvae was tested as follows. The assay was conducted in a 96-well microtiter plate. Each well contained 200  $\mu L$  of aqueous solution (10X concentrated Xenorhabdus culture broth(s), control medium (2% PP3) and about 20, 1-day old larvae (Aedes aegypti). There were 6 wells per treatment. The results were read at 24 h after infestation. No control mortality was observed.

Activity against lepidopteran larvae was tested as follows. Concentrated (10X) Xenorhabdus culture broth(s), control medium (PP3 or TSB), purified toxin complex(es) or 10 mM sodium phosphate buffer, pH 7.0 were applied directly to the surface  $(-1.5 \text{ cm}^2)$  of standard artificial lepidopteran diet (Stoneville Yellow diet) in 40  $\mu L$ The diet plates were allowed to air-dry in a sterile flow-hood and each well was infested with a single, neonate larva. European corn borer (Ostrinia nubilalis), fall armyworm (Spodoptera frugiperda), corn

earworm (Helicoverpa zea) and tobacco hornworm (Manduca sexta) eggs were obtained from commercial sources and hatched in-house whereas tobacco budworm (Heliothis virescens) and beet armyworm (Spodoptera exigua) larvae 5 were supplied internally. Following infestation with larvae, diet plates were sealed, placed in a humidified growth chamber and maintained in the dark at 27° C for the appropriate period. Mortality and weight determinations were scored at day 5. Generally, 16 insects per treatment were used in all studies. Control mortality generally ranged from 0-12.5%.

Activity against two-spotted spider mite (Tetranychus urticae) was determined as follows. Young squash plants were trimmed to a single cotyledon and sprayed to run-off with 10X concentrated broth(s) or control medium (PP3). After drying, plants were infested with a mixed population of spider mites and held at room temperature and humidity for 72 hr. Live mites were then counted to determine levels of control.

20

10

15

#### EXAMPLE 3

## FUNCTIONAL ACTIVITY OF HIGHLY PURIFIED TOXIN PROTEINS FROM XENORHABDUS STRAIN X. riobravis

Functional toxin protein was purified from fermentation 25 broth of Xenorhabdus strain X. riobravis as described herein. This toxin was tested against neonate larvae of five insect species, Southern corn rootworm, European cornborer, Tobacco hornworm, Corn earworm and Tobacco budworm following the methods described in Example 2. The results are seen in Table 30 3. All species showed growth inhibitory and/or lethal effects after five days when presented with toxin at a dose of 440 ng toxin/cm<sup>2</sup> diet.

35 Table 3. Effect of Highly Purified X. riobravis Toxin on Various Insect Species

| Treatment       | S. corn<br>rootworm | European<br>cornborer |       | Corn<br>earworm | Tobacco<br>budworm |
|-----------------|---------------------|-----------------------|-------|-----------------|--------------------|
| X.<br>riobravis | 19/46*              | 75/61                 | 75/75 | 25/95           | 13/98              |

\* - Value are the \* mortality/\* growth inhibition corrected for control effects.

#### EXAMPLE 4

EFFECT OF DIFFERENT CULTURE MEDIA ON FUNCTIONAL ACTIVITY OF FERMENTATION BROTHS FROM SELECTED XENORHABDUS STRAINS

5 Several different culture media were used to further

Table 2. Observed Functional Activity of Broths From Different Xenorhabdus Strains

|     | Different Xenorhabdus | 3 Strains                 |
|-----|-----------------------|---------------------------|
| [   | Xenorhabdus Strain    | Sensitive* Insect Species |
| 10  | S. carp               | 1**, 2, 3, 4, 5, 6, 7     |
|     | X. riobravis          | 1, 2, 3, 5, 6, 7          |
| - 1 | X. NH3                | 1, 2, 3, 6                |
|     | X. Wi                 | 1, 2, 3, 5, 6, 7, 9, 10   |
|     | X. nem                | 3, 5, 6                   |
| 15  | DEX1                  | 1, 2, 3, 6                |
| -   | DEX6                  | 1, 2, 3, 4, 5, 6          |
| ·   | ILM037                | 1,4                       |
|     | ILM039                | 4                         |
|     | ILM070                | 4, 8                      |
| 20  | ILM078                | 3,4                       |
| 20  | ILM079                | 3                         |
|     | ILMOBO                | 3                         |
|     | ILM081                | 3                         |
|     | ILM082                | 3<br>3<br>3<br>3          |
| 25  | ILM083                |                           |
| 23  | ILM084                | 3                         |
|     | ILM102                | 1,2,4                     |
|     | ILM103                | 1,3,4,8                   |
|     | ILM104                | 3,4,8                     |
| 30  | ILM116                | 1,4                       |
| 50  | ILM129                | 1,4                       |
|     | ILM133                | 1,4                       |
|     | ILM135                | 1,2,4                     |
|     | ILM138                | 4                         |
| 35  | ILM142                | 1,2,3,4,8                 |
| 50  | ILM143                | 4                         |
|     | GLX26                 | 8                         |
|     | GLX40                 | 3,8                       |
|     | GLX166                | 4                         |
| 40  | SEX20                 | 1,4,8                     |
|     | SEX76                 | 1,4                       |
|     | SEX180                | 4                         |
|     | GL 133B               | 4                         |
|     | DEX2                  | 6, 7                      |
| 45  | DEX3                  | 3, 6                      |
| 10  | DEX4                  | 6, 7                      |
|     | DEX5                  | 3, 6                      |
|     | DEX7                  | 3                         |
|     | DEX8                  | 3                         |
|     | 3240                  |                           |

<sup>50 \* = ≥ 25%</sup> mortality and/or growth inhibition vs. control

<sup>\*\* = 1;</sup> Tobacco budworm, 2; European corn borer, 3;
Tobacco hornworm, 4; Southern corn rootworm, 5;
Boll weevil, 6; Mosquito, 7; Two-spotted spider mite, 8;
Corn earworm, 9; fall armyworm, 10; beet armyworm.

optimize conditions for detection of functional activity in the fermentation broths of several Xenorhabdus strains. GL133B, X. riobravis, X. Wi, DEX8 and DEX1 were grown in PP3, TSB and PP3 plus 1.25% NaCl (PP3S) as described herein. Broths were then prepared as described herein and assayed against neonate Tobacco hornworm to determine any changes in functional activity. In both experimental cases (condition A which is PP3 vs. TSB; and condition B which is PP3 vs. PP3S), the functional activity of fermentations in PP3S and/or TSB were improved as compared to simultaneous PP3 fermentations (Table 4). certain cases, activity was uncovered which was not apparent with PP3 fermentations. The functional activity produced under condition A and condition B was shown to be heat labile and retained by high molecular weight membranes (> 100,000 kDa). 15 Addition of NaCl to broth after bacterial growth was complete did not increase toxin activity indicating that the increased functional activity observed was not due to increase NaCl concentration in the media but instead due to increased toxin.

The increased activity observed with X. riobravis fermented in PP3S was further investigated by partial purification of toxin(s) from fermentations in PP3 and PP3S as described herein. Consistent with observations using culture broth, the active fraction(s) from PP3S broth (obtained from anion exchange and size-exclusion chromatography as described herein) contained increased biological activity, protein concentration and a more complex protein pattern as determined by SDS-PAGE analysis.

Table 4. The Effect of Different Culture Media on Functional Potency of Selected Xenorhabdus Fermentation Broths 30

|              | Condi | tion A | Condi | tion B |
|--------------|-------|--------|-------|--------|
| Strains      | PP3   | TSB    | PP3   | PP3S   |
| GL133B       | _*    | -      | -     | +      |
| X. riobravis | +     | +++    | +     | +++    |
| X. Wi        | +     | +++    | +     | +++    |
| DEX8         | -     | +      | -     | -      |
| DEX6         | +     | ++     | +     | +++    |
| Control      | -     |        |       |        |

<sup>\* +=25-50%</sup> mortality, ++=51-75% mortality, +++=>76% mortality, -=<25% mortality

10

20

PCT/US98/08993 WO 98/50427 27

#### EXAMPLE 5

XENORHABDUS STRAINS X.nem, X. riobravis, AND X. Wi: PURIFICATION, CHARACTERIZATION AND ACTIVITY

The protocol, as follows, was established based on purifying those fractions having the most activity against Tobacco Hornworm (Manduca sexta), hereinafter THW, as determined in bioassays (see Example 2). Typically, 4-20 L of Xenorhabdus culture that had been grown in PP3 broth being filtered, as described herein, were received and concentrated using an Amicon spiral ultra filtration cartridge Type S1Y100 attached to an Amicon M-12 filtration device (Amicon Inc., Beverly, MA). The retentate contained native proteins wherein the majority consisted of those having molecular sizes greater than 100 kDa, whereas the flow through material contained native proteins less than 100 kDa in size. 15 majority of the activity against THW was contained in the 100 kDa retentate. The retentate was then continually diafiltered with 10 mM sodium phosphate (pH = 7.0) until the filtrate reached an A280 < 0.100. Unless otherwise stated, all procedures from this point were performed in buffer 20 defined as 10 mM sodium phosphate (pH 7.0). The retentate was then concentrated to a final volume of about 0.20 L and then filtered using a 0.45  $\mu m$  sterile filtration unit (Corning, Corning, NY).

The filtered material was loaded at 7.5 mL/min onto a Pharmacia HR16/10 column which had been packed with PerSeptive Biosystem POROS 50 HQ strong anion exchange matrix equilibrated in buffer using a PerSeptive Biosystem SPRINT HPLC system (PerSeptive Biosystems, Framingham, MA). After loading, the column was washed with buffer until an A280nm < 0.100 was achieved. Proteins were then eluted from the column at 2.5 mL/min using buffer with 0.4 M NaCl for 20 min for a total volume of 50 mL. The column was then washed using buffer with 1.0 M NaCl at the same flow rate for an additional 20 min (final volume = 50 ml). Proteins eluted with 0.4 M and 1.0 M NaCl were placed in separate dialysis bags (SPECTRA/POR Membrane MWCO: 2,000; Spectrum, Houston, TX) and allowed to dialyze overnight at 4°C in 12 L buffer. In some cases, the 0.4 M fraction was not dialyzed but

25

30

instead was immediately desalted by gel filtration (see below). The majority of activity against THW was contained in the 0.4 M fraction.

5

10

15

20

25

30

The 0.4 M fraction was further purified by application of 20 mL to a Pharmacia XK 26/100 column that had been prepacked with Sepharose CL4B (Pharmacia) using a flow rate of 0.75 mL/min. Fractionation of the 0.4 M fraction on the Sepharose CL4B column yielded four to five distinct peaks when purifying X. nem and X. Wi. Proteins from strain X. riobravis, while having a distinct peak equivalent to the void volume, also had a very broad, low absorbance region ranging from ca. 280 min to ca. 448 min of the 800 min run. Typically, two larger absorbance peaks were observed after 450 min and before 800 min. Active fractions from X. Wi and X. nem typically eluted at about 256 min to 416 min of a 800 min run

Fractions were pooled based on A280nm peak profile and concentrated to a final volume of 0.75 ml using a Millipore ULTRAFREE-15 centrifugal filter device Biomax-50K NMWL membrane (Millipore Inc., Bedford, MA) or concentrated by binding to a Pharmacia MonoQ HR10/10 column, as described herein. Protein concentrations were determined using a BioRad Protein Assay Kit (BioRad, Hercules, CA) with bovine gamma globulin as a standard.

The native molecular weight of the THW toxin complex was determined using a Pharmacia HR 16/50 column that had been prepacked with Sepharose CL4B in said phosphate buffer. The column was then calibrated using proteins of known molecular size thereby allowing for calculation of the toxin complex approximate native molecular size. As shown in Table 5, the molecular size of the toxin complex were as follows:  $1500 \pm 530$  kDa for strain X. nem;  $1000 \pm 350$  kDa for strain X. riobravis; 3290 kDa + 1150 kDa for strain X. Wi;  $980 \pm 245$  for strain ILM078;  $1013 \pm 185$  for strain DEX6; and  $956 \pm 307$  for strain ILM080. A highly purified fraction of X. Wi, said fraction being purified via ion exchange, gel filtration, ion exchange, hydrophobic interaction chromatography, and ion exchange chromatography as disclosed herein was then analyzed for size using quantitative gel filtration. This material

PCT/US98/08993 WO 98/50427 29

was found to have a native molecular size of 1049  $\pm$  402 kDa (Table 5).

Proteins found in the toxin complex were examined for individual polypeptide size using SDS-PAGE analysis. Typically, 20 µg protein of the toxin complex from each strain was loaded onto a 2-15% polyacrylamide gel (Integrated Separation Systems, Natick, MA) and electrophoresed at 20 mA in SDS-PAGE buffer (BioRad). After completion of electrophoresis, the gels were stained overnight in BioRad Coomassie blue R-250 (0.2% in methanol: acetic acid: water; 10 40:10:40 v/v/v). Subsequently, gels were destained in methanol:acetic acid: water; 40:10:40 (v/v/v). Gels were then rinsed with water for 15 min and scanned using a Molecular Dynamics PERSONAL LASER DENSITOMETER (Sunnyvale, CA). Lanes were quantitated and molecular sizes were calculated as compared to BioRad high molecular weight standards, which ranged from 200-45 kDa.

Sizes of individual polypeptides comprising the THW toxin complex from each strain are listed in Table 6. The sizes of the individual polypeptides ranged from 32 kDa to 330 kDa. Each of X. Wi, X. nem, X. riobravis, ILM080, ILM078, and DEX6 strains had polypeptides comprising the toxin complex that were in the 160-330 kDa range, the 100-160 kDa range, and the 50-80 kDa range. These data indicate that the toxin complex may vary in peptide composition and components from strain to strain; however, in all cases the toxin attributes appears to consist of a large, oligomeric protein complex with subunits ranging from 23 kDa to 330 kDa.

EXAMPLE 5

20

25

30

SUB-FRACTIONATION OF XENORHABDUS TOXIN COMPLEX FROM X. riobravis AND X. Wi

For subfractionation, about 10 mg of the Xenorhabdus protein toxin complex of X. riobravis was isolated as 35 described above and was applied to a Pharmacia MonoQ HR 10/10 column equilibrated with 10 mM phosphate buffer, pH 7.0 at a flow rate of 2 mL/min. The column was washed with said buffer until the absorbance at 280 nm returned to baseline. Proteins bound to the column were eluted with a linear 40

gradient of 0 to 1.0 M NaCl in said buffer at 2 mL/min for 1 h. Two mL fractions were collected and subjected to analysis by bioassay against THW as described herein. Peaks of activity were determined by examining a 2-fold dilution of each fraction in THW bioassays. A peak of activity against THW was observed that eluted at about 0.3-0.4 M NaCl. The fractions having activity against THW were pooled and analyzed by SDS-PAGE gel electrophoresis. It was observed that there were four predominant peptides having the approximate sizes of 220 kDa, 190 kDa, 130 kDa, and 54 kDa.

The peptides described above were electrophoresed on a 4-20% SDS-PAGE (Integrated Separation Systems) and transblotted to PROBLOTT PVDF membranes (Applied Biosystems, Foster City, CA). Blots were sent for amino acid analysis and N-terminal amino acid sequencing at Harvard MicroChem and Cambridge ProChem, respectively.

10

15

20

25

30

35

For sub-fractionation experiments with X. Wi, ca. 10 mg toxin was applied to a MonoQ HR 10/10 column equilibrated with 10 mM phosphate buffer, pH 7.0 at a flow rate of 2 The column was washed with said buffer until the  $A_{280mm}$  returned to baseline. Proteins bound to the column were eluted with a linear gradient of 0 to 1.0 M NaCl in said buffer at 2 mL/min for 1 h. Two mL fractions were collected and subjected to analysis by bioassay against THW as described herein. At least two major detectable peaks at A280nm were observed. The majority of functional THW activity that was observed eluted at about 0.10-0.25 M NaCl. The fractions having activity against THW were pooled and analyzed by gel electrophoresis. By SDS-PAGE it was observed that there were up to eight predominant peptides having the approximate sizes of 330 kDa, 320 kDa, 270 ka, 220 kDa, 200 kDa, 190 kDa, 170 kDa, 130 kDa, 91 kDa, 76 kDa, 55 kDa and 36 kDa.

The peak THW pooled activity fraction was applied to phenyl-sepharose HR 5/5 column. Solid (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> added to a final concentration of 1.7 M. The solution was then applied onto the column equilibrated with 1.7 M (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> in 50 mM potassium phosphate buffer, pH 7, at 1 mL/min. Proteins bound to the column were then eluted with a linear gradient of 1.7 M (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, 50 mM potassium phosphate, pH 7.0 to 10

PCT/US98/08993

WO 98/50427

31

mM potassium phosphate, pH 7.0 at 0.5 mL/min for 60 min. After THW bioassays, it was determined that the peak activity eluted at an  $A_{280nm}$  between 40 min to ca. 50 min. were dialyzed overnight against 10 mM sodium phosphate 5 buffer, pH 7.0. By SDS-PAGE it was observed that there were up to six predominant peptides having the approximate sizes of 270 kDa, 220 kDa, 170 kDa, 130 kDa, and 76 kDa.

The peptides from THW active fractions from either 5/5 or 10/10 phenyl-sepharose column were electrophoresed on a 4-20% SDS-PAGE gel (Integrated Separation Systems) and transblotted to PROBLOTT PVDF membranes (Applied Biosystems, Foster City, CA). Blots were sent for amino acid analysis and N-terminal amino acid sequencing at Harvard MicroChem and Cambridge ProChem, respectively. The N-terminal amino acid sequences for 130 kDa (SEQ ID NO:1), 76 kDa (SEQ ID NO:2), and 38 kDa (SEQ ID NO:3) peptides are entered herein.

10

25

30

35

40

Insect bioassays were performed using either toxin complex or THW phenyl-sepharose purified fractions. Functional activity (at least 20% mortality) and/or growth inhibition (at least 40%) was observed for fall armyworm, beet armyworm, tobacco hornworm, tobacco budworm, European corn borer, and southern corn rootworm. In toxin complex preparations tested, higher activity was observed against tobacco hornworm and tobacco budworm than against southern corn rootworm larvae. The insect activity of X. Wi toxin complex and any additionally purified fractions were shown to be heat sensitive.

#### EXAMPLE 6

PRODUCTION, ISOLATION, AND CHARACTERIZATION OF XENORHABDUS STRAIN X. carpocapsae

A 1% inoculum of an overnight culture of the isolate X. carpocapsae, also known as X. carp, was added to a 125 mL flask containing 25 mL PP3 and incubated for 72 h at 28° C on a rotary shaker at 250 rpm. Afterwards, the cultures were centrifuged for 20 min at 10,000 xg as described herein followed by filtration of the supernatant using a 0.2  $\mu m$ membrane filter. A 15 mL sample of the supernatant was then added to an Ultrafree-15 100,000 NMWL centrifugal filter

10

15

20

Table 5. Characterization of a Toxin Complex From Xenorhabdus Strains.

| STRAIN  | TOXIN COMPLEX SIZE" |  |  |  |  |  |  |
|---|---------------------|--|--|--|--|--|--|
| X. Wi   | 3290 kDa ± 1150 kDa |  |  |  |  |  |  |
| X.Wi  | 1049 kDa ± 402 kDa  |  |  |  |  |  |  |
| (Highly Purified)   |                     |  |  |  |  |  |  |
| X. nem  | 1010 kDa ± 350 kDa  |  |  |  |  |  |  |
| X. riobravis  | 1520 kDa ± 530 kDa  |  |  |  |  |  |  |
| ILM 078   | 980 kDa ± 245 kDa   |  |  |  |  |  |  |
| ILM 080   | 1013 kDa ± 185 kDa  |  |  |  |  |  |  |
| DEX6  | 956 kDa ± 307 kDa   |  |  |  |  |  |  |
| *Native molecular weight determined using a Pharmacia HR16/50 column packed with Sepharose CL4B. Highly purified X. Wi was from a fraction isolated from a Mono Q 5/5 column. |                     |  |  |  |  |  |  |

device (Millipore, MA) and centrifuged at 2000 xg. The 5 retentate was washed 2x with 100 mM KPO4, pH 6.9, and then resuspended in 1.0 mL of the same. Proteins were analyzed by SDS-PAGE as disclosed herein using a 10% resolving gel and 4% stacking gel with sizes calibrated using BioRad prestained standards (Hercules, CA). Gels were electrophoresed at 40V for 16 h at 15° C and then stained with Colloidal Blue from Novex, Inc., (San Diego, CA).

For additional separations, samples were applied to a BIO-SEP S4000 column (Phenomenex, Torrance, CA), 7.5mm I.D., 60cm CML under an isocratic system using 100 mM KPO4 pH 6.9. amount loaded per sample was 250-500 µg protein. Fractions were collected in 3 groups depending on protein size (size exclusion chromatography) as follows: proteins greater than 1,000 kDa; proteins being 800 -1,000 kDa; and proteins less than 800,000 kDa. The 800,000-1,000,000 Da fraction was selected for further analysis.

15

20

Table 6. Molecular Sizes of Peptides in Toxin Complex from Xenorhabdus Strains in kDa.

| X. Wi | X. nem | X. riobravis | ILM 080 | ILM 078 | DEX 6        |
|-------|--------|--------------|---------|---------|--------------|
| 330   | 220    | 220          | 200     | 203     | 201          |
| 320   | 190    | 190          | 197     | 200     | 181          |
| 270   | 170    | 100          | 173     | 173     | 148          |
| 220   | 150    | 96           | 112     | 150     | 138          |
| 200   | 140    | 92           | 106     | 144     | 128          |
| 190   | 85     | 85           | 90      | 106     | 119          |
| 170   | 79     | 79 -         | 80      | 80      | 90           |
| 130   | 65     | 65           | 74      | 62      | 75           |
| 91    | 56     | 56           | 61      | 58      | 65           |
| 76    | 50     | 50           | 60      | 54      | 59           |
| 55    | 42     | 47           | 58      | 50      | 55           |
| 49    | 38     | 42           | 55      | 45      | 45           |
| 46    | 31     | 38           | 53      |         | 41           |
| 43    | 29     | 34           | 48      |         | 37           |
| 40    | 26     | 31           | 46      |         | 32           |
| 36    |        | 26           | 43      |         |              |
| 32    | ļ      | 23           | 42      |         | <del> </del> |
|       |        |              | 40      |         |              |

The 800-1000 kDa fractions, which had the most functional activity, were pooled and concentrated using a 100,000 NMWL centrifugal filter devices (Millipore, Bedford, MA). Each 10 pooled retentate fraction was washed 2x and resuspended in 300  $\mu L$  of 100 mM KPO, pH 6.9. The protein concentrations were determined using the bicinchoninic acid protein assay reagent kit (Pierce, Rockford, IL). Proteins in this fraction were analyzed by SDS-PAGE as described herein and found to have many proteins of different sizes. This material was then further separated on a DEAE column whereby proteins were eluted with increasing salt concentrations. Those fractions having the most activity were then examined again via SDS-PAGE and were found to be comprised of 4 predominate proteins having sizes as

WO 98/50427 PCT/US98/08993

follows: 200, 190, 175 and 45 kDa. The active fraction from the DEAE step was passed through a HPLC gel filtration column as described above (BioSep S4000) and the toxic activity against *Manduca sexta* was found to be contained within a fraction having native proteins >800 kDa.

Bioassays were performed as follows. Eggs of M. sexta were purchased from Carolina Biological Supply Co. The eggs were hatched and reared on fresh wheat germ diet (ICN, CA) while incubated at 25° C in a 16 h light/8h dark photocycle incubator. Oral toxicity data were determined by placing twelve M. sexta larva onto a piece of insect food containing 300 µg ultrafiltration retentate obtained as described above. Observations were made over 5 days. For the HPLC-size exclusion chromatography fractions, 20 µg total protein were applied to wheat germ diet. Experiment was repeated in duplicate.

# INSECTICIDAL PROTEIN TOXINS FROM XENORHABDUS SEQUENCE LISTING

| 5  | (1) GENER  | AL INFORMATION  |  |
|----|------------|---|--|
| 10 |            | APPLICANT:  | Ensign, Jerald C. Bowen, David J. Tenor, Jennifer L. Ciche, Todd A. Petell, James K. Strickland, James A. Orr, Gregory L. Fatig, Raymond Bintrim, Scott B. |
| 20 | (ii)       | TITLE OF INVENTION:   | Insecticidal Protein Toxins<br>From <i>Xenorhabdus</i>   |
|    | (iii)      | NUMBER OF SEQUENCES:  | 3  |
| 25 | (iv)       | (C) CITY: Indianap<br>(D) STATE: IN   | AgroSciences, LLC<br>ionsville Road  |
| 30 |            | (E) COUNTRY: US<br>(F) ZIP: 46268   |  |
| 35 | (v)        | COMPUTER READABLE FO  (A) MEDIUM TYPE: F  (B) COMPUTER: IBM  (C) OPERATING SYSTE  (D) SOFTWARE: Pate  #1.30           | loppy disk<br>M: PC-DOS/MS-DOS<br>ntIn Release #1.0, Version   |
| 40 | (vi)       | CURRENT APPLICATION (A) APPLICATION NUM (B) FILING DATE:  |  |
| 45 | (viii)     | ATTORNEY/AGENT INFOR<br>(A) NAME: Borucki,<br>(B) REGISTRATION NU<br>(C) REFERENCE/DOCKE                              | Andrea T.<br>MBER: 33651   |
| 50 | (ix)       | TELECOMMUNICATION INF (A) TELEPHONE: 317 (B) FAX NUMBER: 317  | 7-337-4846   |
| 55 | (2) INFORM | MATION FOR SEQ ID NO:1<br>SEQUENCE CHARACTERIST<br>(A) LENGTH: 12 amin<br>(B) TYPE: amino aci<br>(D) TOPOLOGY: linear | ICS;<br>o acids<br>d   |

|    | (ii) MOLECULAR TYPE: protein  |
|----|---|
|    | (v) FRAGMENT TYPE: N-terminal   |
| 5  | (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1  |
|    | Asn Gln Asn Val Glu Pro Ser Ala Gly Asp Ile Val   |
|    | 1 5 10  |
| 10 | (2) INFORMATION FOR SEQ ID NO:2   |
| 15 | <ul><li>(i) SEQUENCE CHARACTERISTICS;</li><li>(A) LENGTH: 8 amino acids</li><li>(B) TYPE: amino acid</li><li>(D) TOPOLOGY: linear</li></ul> |
|    | (ii) MOLECULAR TYPE: protein  |
| 20 | <pre>(v) FRAGMENT TYPE: N-terminal</pre>  |
|    | (vi) SEQUENCE DESCRIPTION: SEQ ID NO:2  |
|    | Ser Gln Asn Val Tyr Arg Tyr Pro   |
| 25 | 1 5   |
|    | (2) INFORMATION FOR SEQ ID NO:3:  |
| 30 | <ul><li>(i) SEQUENCE CHARACTERISTICS;</li><li>(A) LENGTH: 7 amino acids</li><li>(B) TYPE: amino acid</li><li>(D) TOPOLOGY: linear</li></ul> |
| 35 | (ii) MOLECULAR TYPE: protein  |
|    | (v) FRAGMENT TYPE: internal   |
|    | (xi) SEQUENCE DESCRIPTION: SEQ ID NO:3  |
| 40 | Met Thr Lys Gln Glu Tyr Leu   |
|    | - E   |

PCT/US98/08993 WO 98/50427

#### Claims

37

We claim:

A composition, comprising an effective amount of a Xenorhabdus protein toxin having functional activity against an insect, said protein toxin being derived from a protein having a native molecular size of at least 100 kDa.

- The composition of Claim 1, wherein the Xenorhabdus 10 toxin having oral functional activity against an insect is produced by a purified culture of Xenorhabdus nematophilus, Xenorhabdus poinarii, Xenorhabdus bovienii, Xenorhabdus beddingii or Xenorhabdus species.
- 15 The composition of Claim 2, wherein said purified culture of Xenorhabdus selected from the group consisting of S. carp, X. Wi, X. nem, X. NH3, X. riobravis, GL 133B, DEX1, DEX2, DEX3, DEX4, DEX5, DEX6, DEX7, DEX8, ILM037, ILM039, ILM070, ILM078, ILM079, ILM080, ILM081, ILM082, ILM083, 20 ILM084, ILM102, ILM103, ILM104, ILM129, ILM133, ILM135, ILM138, ILM142, ILM143, GLX26, GLX40, GLX166, SEX20, SEX76, and SEX180.
- The composition of Claim 1, wherein the toxin having 25 functional activity against an insect is produced by a purified culture of Xenorhabdus strain designated S. carp, X. Wi, X. nem, X. NH3, X. riobravis, GL 133B, DEX1, DEX2, DEX3, DEX4, DEX5, DEX6, DEX7, DEX8, ILM037, ILM039, ILM070, ILM078, 30 ILM079, ILM080, ILM081, ILM082, ILM083, ILM084, ILM102, ILM103, ILM104, ILM129, ILM133, ILM135, ILM138, ILM142, ILM143, GLX26, GLX40, GLX166, SEX20, SEX76, and SEX180.
- The composition of Claim 4, wherein the toxin having functional activity against an insect is a mixture of one or 35 more toxins produced from purified cultures of Xenorhabdus.
  - The composition of Claim 3 wherein the toxin having functional activity against an insect, said toxin being a mixture of one or more toxins, is produced from said purified

cultures of Xenorhabdus, said purified cultures being selected from the group consisting of S. carp, X. Wi, X. nem, X. NH3, X. riobravis, GL 133B, DEX1, DEX2, DEX3, DEX4, DEX5, DEX6, DEX7, DEX8, ILM037, ILM039, ILM070, ILM078, ILM079, ILM080, ILM081, ILM082, ILM083, ILM084, ILM102, ILM103, ILM104, ILM129, ILM133, ILM135, ILM138, ILM142, ILM143, GLX26, GLX40, GLX166, SEX20, SEX76, and SEX180.

- 7. The composition of Claim 1, wherein the insect is of 10 the order Coleoptera, Lepidoptera, Diptera, or Acarina.
  - 8. The composition of Claim 7, wherein the insect species from order *Coleoptera* are selected from the group consisting of Corn Rootworm, Boll Weevil, wireworms, pollen beetles, flea beetles, seed beetles and Colorado potato beetle.
  - 9. The composition of Claim 7, wherein the insect species from order Lepidoptera are selected from the group consisting of Beet Armyworm, European Corn Borer, Tobacco Hornworm, Tobacco Budworm, cabbage looper, black cutworm, corn earworm, codling moth, clothes moth, Indian mealmoth, leaf rollers, cabbage worm, cotton bollworm, bagworm, Eastern tent caterpillar, sod webworm and fall armyworm.

25

30

35

15

- 10. The composition of Claim 7, wherein the insect species from the order *Diptera* are selected from the group consisting of pea midge, carrot fly, cabbage root fly, turnip root fly, onion fly, crane fly, house fly, and various mosquito species.
- 11. The composition of Claim 7 wherein the insects species from the order Acarina are selected from the group consisting of two-spotted spider mites, strawberry spider mites, broad mites, citrus red mite, European red mite, pear rust mite and tomato russet mite.
- 12. A substantially pure microorganism culture comprising of Xenorhabdus strain selected from the group 40 consisting of S. carp, X. Wi, X. nem, X. NH3, X. riobravis,

GL 133B, ILM037, ILM039, ILM070, ILM078, ILM079, ILM080, ILM081, ILM082, ILM083, ILM084, ILM102, ILM103, ILM104, ILM129, ILM133, ILM135, ILM138, ILM142, ILM143, GLX26, GLX40, GLX166, SEX20, SEX76, and SEX180.

5

15

20

- 13. A purified protein preparation comprising, a Xenorhabdus protein with at least one subunit having an approximate molecular weight between about 20 kDa to about 350 kDa; between about 130 kDa to about 350 kDa; about 80 kDa to about 130 kDa; about 40 kDa to about 80 kDa; or about 20 kDa to about 40 kDa.
- 14. The purified protein preparation of Claim 13 comprising, a native *Xenorhabdus* protein with at least one subunit having a molecular weight of at least 100 kDa or greater.
- 15. A purified protein preparation comprising a protein containing an amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, and SEQ ID NO:3.
  - 16. A method of controlling an insect comprising, delivering to an insect an effective amount of a protein toxin having functional activity against an insect, wherein the protein is produced by a purified bacterial culture of the genus *Xenorhabdus* and has an native molecular weight of at least 100 kDa.
- 17. The method of Claim 16, wherein the Xenorhabdus
  30 toxin having functional activity against an insect is
  produced by a purified culture of Xenorhabdus nematophilus,
  Xenorhabdus poinarii, Xenorhabdus bovienii, Xenorhabdus
  beddingii or Xenorhabdus species.
- 18. The method of Claim 17, wherein said purified culture of Xenorhabdus selected from the group consisting of S. carp, X. Wi, X. nem, X. NH3, X. riobravis, GL 133B, DEX1, DEX2, DEX3, DEX4, DEX5, DEX6, DEX7, DEX8, ILM037, ILM039, ILM070, ILM078, ILM079, ILM080, ILM081, ILM082, ILM083, ILM084, ILM102, ILM103, ILM104, ILM129, ILM133, ILM135,

PCT/US98/08993

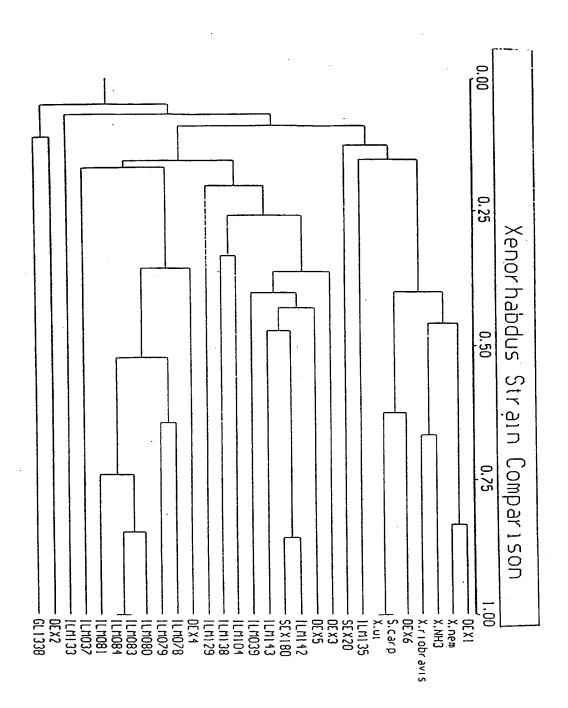
ILM138, ILM142, ILM143, GLX26, GLX40, GLX166, SEX20, SEX76, and SEX180.

- 19. The method of Claim 16, wherein the toxin having
  5 functional activity against an insect is produced by a
  purified culture of Xenorhabdus strain designated S. carp, X.
  Wi, X. nem, X. NH3, X. riobravis, GL 133B, DEX1, DEX2, DEX3,
  DEX4, DEX5, DEX6, DEX7, DEX8, ILM037, ILM039, ILM070, ILM078,
  ILM079, ILM080, ILM081, ILM082, ILM083, ILM084, ILM102,
  10 ILM103, ILM104, ILM129, ILM133, ILM135, ILM138, ILM142,
  ILM143, GLX26, GLX40, GLX166, SEX20, SEX76, and SEX180.
- 20. The method of Claim 16, wherein the toxin having functional activity against an insect is a mixture of one or more toxins produced from purified cultures of Xenorhabdus.
  - 21. The method of Claim 16, wherein the insect is of the order Coleoptera, Lepidoptera, Diptera, or Acarina.
- 22. The method of Claim 21, wherein the insect species from order *Coleoptera* are selected from the group consisting of Corn Rootworm, Boll Weevil, wireworms, pollen beetles, flea beetles, seed beetles and Colorado potato beetle.
- 23. The method of Claim 21, wherein the insect species from order Lepidoptera are selected from the group consisting of Beet Armyworm, European Corn Borer, Tobacco Hornworm, Tobacco Budworm, cabbage looper, black cutworm, corn earworm, codling moth, clothes moth, Indian mealmoth, leaf rollers, cabbage worm, cotton bollworm, bagworm, Eastern tent caterpillar, sod webworm and fall armyworm.
- 24. The method of Claim 21, wherein the insect species
  from the order Diptera are selected from the group consisting of pea midge, carrot fly, cabbage root fly, turnip root fly, onion fly, crane fly, house fly, and various mosquito species.

PCT/US98/08993

5

- 25. The method of Claim 21, wherein the insect species from the order Acarina are selected from the group consisting of two-spotted spider mites, strawberry spider mites, broad mites, citrus red mite, European red mite, pear rust mite and tomato russet mite.
- 26. A method of altering the toxin level or toxin composition produced by *Xenorhabdus* strains comprising, modifying media composition.
- 27. The method of Claim 26 wherein said media composition is modified by fermenting said *Xenorhabdus* in tryptic soy broth.
- 15 28. The method of Claim 26 wherein said media composition is modified by increasing ionic strength of said media.



SUBSTITUTE SHEET (RULE 26)

## INTERNATIONAL SEARCH REPORT

Interr 1al Application No PCT/US 98/08993

| A. CLASSII<br>IPC 6   | FICATION OF SUBJECT MATTER C07K14/24 C12P21/02 A01N63/0  | 2  |                       |
|---|--|--|-----------------------|
| According to  | o international Patent Classification (IPC) or to both national classifica   | tion and IPC                                 |                       |
|   | SEARCHED   |  |                       |
| Minimum do<br>IPC 6   | ocumentation searched (classification system followed by classification AO1N C12P C07K   | n symbols) .                                 |                       |
| Documentat  | tion searched other than minimum documentation to the extent that ex   | uch documents are included in the fields sea | arched                |
| Electronic d  | ata base consulted during the international search (name of data bas   | se and, where practical, search terms used)  |                       |
| C. DOCUM  | ENTS CONSIDERED TO BE RELEVANT   |  |                       |
| Category *  | Citation of document, with indication, where appropriate, of the rele  | vant passages                                | Relevant to claim No. |
| Х   | DAVID JOSEPH BOWEN: "Characteriz<br>a High Molecular Weight Insectici<br>Protein Complex Produced by the<br>Entomopathogenic Bacterium Photor<br>luminescens (Nematodes, Biologica<br>Control)"<br>PH.D. THESIS,<br>May 1995, XP002076022<br>see the whole document, particula | dal<br>habdus<br>al                          | 1-11,13,<br>14,16-28  |
| X   | ii, 85, 136, and table 3.2  WO 95 00647 A (COMMW SCIENT IND F; SMIGIELSKI ADAM JOSEPH (AU); AKF 5 January 1995 see the whole document  | RES ORG                                      | 13                    |
| [V] 5   | ther documents are listed in the continuation of box C.  | Y Patent family members are listed           | In annex.             |
| Special categories of cited documents:  "A" document defining the general state of the art which is not considered to be of particular relevance or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention filling date  "L" document but published on or after the international filling date  "L" document which may throw doubts on priority claim(s) or which is cited to establish the publicationdate of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  "P" document published prior to the international filling date but later than the priority date claimed  "A" later document published after the international filling date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention cannot be considered novel or cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "A" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. |  |  |                       |
| Date of the   | actual completion of the international search  | Date of mailing of the international sea     | arch report           |
| <u> </u>  | 31 August 1998   | 21/09/1998                                   |                       |
| Name and  | mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.  | Authorized officer  Smalt. R                 |                       |

## INTERNATIONAL SEARCH REPORT

Intern 1al Application No PCT/US 98/08993

| C.(Continu | ation) DOCUMENTS CONSIDERED TO BE RELEVANT   |                                  |
|------------|--|----------------------------------|
| ategory °  | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No.            |
| X          | HONGSTHONG, A. ET AL.: "Optimum conditions for insecticidal toxin production by Photorhabdus luminescens." ABSTRACTS OF THE GENERAL MEETING OF THE AMERICAN SOCIETY FOR MICROBIOLOGY, vol. 95, May 1995, pages 408-Abstr.Q-48, XP002076055 see the whole document  | 26-28                            |
| Α          | AKHURST, R.J. AND BOEMARE, N.E.: "A numerical taxonomic study of the genus Xenorhabdus (Enterobacteriaceae) and proposed elevation of the subspecies of X. nematophilus to species."  JOURNAL OF GENERAL MICROBIOLOGY, vol. 134, no. 7, July 1988, pages 1835-45, XP002074819  cited in the application see the whole document | 2-4,6,<br>12,18,19               |
| Ρ,Χ        | WO 97 17432 A (WISCONSIN ALUMNI RES FOUND) 15 May 1997 see page 3, line 19 see page 7, line 26 - line 30 see page 8, line 4 - line 12; example 1; tables 2,21,22   | 1-11,<br>13-28                   |
| P,X        | WO 98 08388 A (MORGAN JAMES ALUN WYNNE; JARRETT PAUL (GB); ELLIS DEBORAH JUNE (GB) 5 March 1998  see page 2, line 29 - line 37; table 1 see page 4, line 17 - page 5, line 2 see page 5, line 33 - line 36 see page 10, line 12 - line 14; example 7   | 1-7,9,<br>10,<br>13-21,<br>23,24 |
|            |  |                                  |

#### INTERNATIONAL SEARCH REPORT

.. .ormation on patent family members

Internal Application No PCT/US 98/08993

| Patent document<br>cited in search report |       | Publication<br>date |    | atent family<br>member(s) | Publication<br>date |
|---|-------|---------------------|----|---------------------------|---------------------|
| WO 9500647                                | A     | 05-01-1995          | AU | 675335 B                  | 30-01-1997          |
|   |       |                     | AU | 6991694 A                 | 17-01-1995          |
|   |       |                     | ΕP | 0705340 A                 | 10-04-1996          |
|   |       |                     | JP | 9500264 T                 | 14-01-1997          |
| WO 9717432                                | Α     | 15-05-1997          | AU | 1050997 A                 | 29-05-1997          |
|   |       |                     | BR | 9606889 A                 | 28-10-1997          |
|   |       |                     | CA | 2209659 A                 | 15-05-1997          |
|   |       |                     | EP | 0797659 A                 | 01-10-1997          |
|   |       |                     | PL | 321212 A                  | 24-11-1997          |
|   |       |                     | SK | 93197 A                   | 06-05-1998          |
|   |       |                     | AU | 2829997 A                 | 19-03-1998          |
|   |       |                     | WO | 9808932 A                 | 05-03-1998          |
| WO 9808388                                | <br>А | 05-03-1998          | AU | 4024997 A                 | 19-03-1998          |

# THIS PAGE BLANK (USPTO)